TRANSMITTAL

Signature

FORM

PTO/SB/21 (08-03)

09/972,872

October 10, 2001

Masaaki SUZUKI

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

First Named Inventor

Filing Date

(to be used for all correspondence after initial filing)			Art Unit		3723		
			Examiner Name		ROSE, Robert A.		
Total Number of Pages in This Submission			Attorn	ey Docket Number	033773.031		
ENCLOSURES (check all that apply)							
Fee Transmittal Form		☐ Drawing(s)			After Allowance Communication to Group		
☐ Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application			Proprietary Information		
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address			Status Letter		
Extension of Time Request		Terminal Disclaimer			Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund CD, Number of CD(s)			·		
Information Disclosure Statement					RECEIVED		
Certified Copy of Priority Document(s)		Remarks			SEP 3 0 2003		
Response to Missing Parts/ Incomplete Application					TECHNOLOGY CENTER R3700		
Response to Missing Parts under 37 CFR 1,52 or 1,53					LOTHOLOGY CENTER H3700		
	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT		
Firm or Individual name	Michael A. Makuch - Reg. 32,263						
Signature	Onk						
Date	September 22, 2003						
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need essistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEE	TRA	NS	MIT	TAL
	for F	Y 2	003	

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 110

	Complete if Ki	nown
Application Number	09/972,872	DEOT
Filing Date	October 10, 2001	RECEIVED
First Named Inventor	Masaaki SUZUKI	CED 9 0 2000
Examiner Name	ROSE, Robert A.	SEP 3 0 2003
Art Unit	3723	TECHNOLOGY CENTER F370
Attorney Docket No.	033773.031	THE HOLD OF CENTER HOTO

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)				
Michael Credit pard Menou Ci Other Ci Nano			3. AE	3. ADDITIONAL FEES				
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order			Large	Large Entity Small Entity				
☐ Deposit Account:								
Danasit .			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Pald
Deposit Account 02-4300		1051	130	2051	65	Surcharge - late filing fee or oath		
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account Smith, Gambrell & Russell LLP			1053	130	1053	130	Non-English specification	
			1812	2,520	1812	2,520	For filing a request for reexamination	
Name The Director is a	uthorized to: (check all tha	apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below ☒ Credit any overpayments ☒ Charge any additional fee(s) during the pendency of this application			1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	ndicated below, except for ified deposit account.	he filing fee	1251	110	2251	55	Extension for reply within first month	110.00
to the above-ident	FEE CALCULATIO		1252	410	2252	205	Extension for reply within second month	
1. BASIC F	LING FEE		1253	930	2253	465	Extension for reply within third month	
Large Entity	Small-Entity	10-	1254	1,450	2254	725	Extension for reply within fourth month	
	ee Fee <u>Fee Descrip</u> Code (\$)	Fee Paid	1255	1,970	2255	985	Extension for reply within fifth month	
\·',	001 375 Utility filing fo	e	1401	320	2401	160	Notice of Appeal	
	002 165 Design filing		1402	320	2402	160	Filing a brief in support of an appeal	
1003 520 2	003 260 Plant filing fe		1403	280	2403	140	Request for oral hearing	
	004 375 Reissue filin		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Provisional f	ling ree	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 0			1453	1,300	2453	650	Petition to revive – unintentional	
			1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLA	IM FEES FOR UTILITY		1502	470	2502	235	Design issue fee	
	Extra Claims	Fee from Fee below Paid	1503	630	2503	315	Plant issue fee	
Total Claims		= 0	1460	130	1460	130	Petitions to the Commissioner	
Independent			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	- " = 0	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	ı Small Entity	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fee	Foo Foo		1809	750	2809	375	Filing a submission after final rejection	
Code (\$)	Code (\$)	<u>cription</u>					(37 CFR § 1.129(a))	
1202 18		excess of 20	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1201 84 1203 280		lent claims in excess of 3	1801	750	2004	275		
	** Poice	** Paissus independent claims over			2801		Request for Continued Examination (RCE)	
1204 84 2204 42 original patent			1802	900	1802	900	Request for expedited examination of a design application	
1205 18	18 2205 9 ** Reissue claims in excess of 20 and over original patent			Other fee (specify)				
011070741 (0)			Other	ee (speci	(¥i	-		
SUBTOTAL (2) (\$) 0			*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 110					
**or number previously paid, if greater, For Reissues, see above								

SUBMITTED BY Complete (if applicable) Registration No. 32,263 Name (Print/Type) Michael A Makuch (Attorney/Agent) Telephone Signature Date September 22, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.